

ACATIS Fair Value Investment AG Engagements 01.01.2024 bis 31.03.2024

Agent Name	ISIN	Involvement	Signature	Current Overall Norm-Based Research Score	Engagement Cycle	Status	Latest Engagement	Number of Topics	ESG Area Breakdown
1 Adani Enterprises Limited	INE423A01024	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Ongoing Dialogue	Q1 2024 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
2 Albemarle Corporation	US0126531013	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
3 Alphabet Inc.	US02079K3059	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	3	Overlapping (Corruption, Human rights, Labour rights)
4 Amgen Inc.	US0311621009	Opted-In	ACATIS Fair Value Investment AG		8 Q3 2023	Suspended	Q3 2023 (Suspended)	1	Human rights
5 AngloGold Ashanti Plc	GB00BRXH2664	Opted-In	ACATIS Fair Value Investment AG		8 Q3 2023	Suspended	Q3 2023 (Suspended)	1	Human rights
6 ArcelorMittal SA	LU1598757687	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Suspended	Q4 2023 (Suspended)	2	Environment
7 Baker Hughes Company	US05722G1004	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Suspended	Q4 2023 (Suspended)	1	Environment
8 Bharat Heavy Electricals Limited	INE257A01026	Opted-In	ACATIS Fair Value Investment AG		10 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Environment
9 Bollore SE	FR0000039299	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Suspended	Q1 2024 (Suspended)	5	Overlapping (Human rights, Labour rights)
10 Compagnie de l'Odet SE	FR0000062234	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Suspended	Q1 2024 (Suspended)	5	Overlapping (Human rights, Labour rights)
11 Companhia de Saneamento do Parana	BRSAPRACNPR6	Opted-In	ACATIS Fair Value Investment AG		10 Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Environment
12 Daqo New Energy Corp.	US23703Q2030	Opted-In	ACATIS Fair Value Investment AG		6 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Labour rights
13 Enel SpA	IT0003128367	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Suspended	Q4 2023 (Suspended)	1	Human rights
14 ENGIE SA	FR0010208488	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Reminder	Q1 2024 (Reminder)	2	Overlapping (Environment, Human rights)
15 Eni SpA	IT0003132476	Opted-In	ACATIS Fair Value Investment AG		10 Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	3	Overlapping (Environment, Labour rights)
16 Freepport-McMoRan, Inc.	US35671D8570	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Ongoing Dialogue	Q1 2024 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
17 Hawaiian Electric Industries, Inc.	US4198701009	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Human rights
18 Imperial Metals Corporation	CA4528921022	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Human rights
19 Jardine Cycle & Carriage Limited	SG1B51001017	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Ongoing Dialogue	Q1 2024 (Ongoing Dialogue)	1	Human rights
20 Jardine Matheson Holdings Ltd.	BMG507361001	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Human rights
21 JinkoSolar Holding Co., Ltd.	US47759T1007	Opted-In	ACATIS Fair Value Investment AG		6 Q1 2024	Ongoing Dialogue	Q1 2024 (Ongoing Dialogue)	1	Labour rights
22 Koninklijke Philips NV	NL000009538	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Suspended	Q4 2023 (Suspended)	1	Human rights
23 Lyft, Inc.	US55087P1049	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Labour rights
24 Marubeni Corp.	JP3877600001	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Suspended	Q1 2024 (Suspended)	1	Human rights
25 Meituan	KYG596691041	Opted-In	ACATIS Fair Value Investment AG		7 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Corruption
26 Mitsubishi Corp.	JP3898400001	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Ongoing Dialogue	Q1 2024 (Ongoing Dialogue)	2	Overlapping (Environment, Human rights)
27 Mitsui & Co., Ltd.	JP3893600001	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Suspended	Q1 2024 (Suspended)	2	Overlapping (Environment, Human rights)
28 NTPC Limited	INE733E01010	Opted-In	ACATIS Fair Value Investment AG		10 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Environment
29 Pan American Silver Corp.	CA6979001089	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Suspended	Q4 2023 (Suspended)	1	Human rights
30 PT Astra Agro Lestari Tbk	ID1000066004	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Ongoing Dialogue	Q1 2024 (Ongoing Dialogue)	1	Human rights
31 PT Astra International Tbk	ID1000122807	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Human rights
32 Red Rock Resorts, Inc.	US75700L1089	Opted-In	ACATIS Fair Value Investment AG		10 Q1 2024	Reminder	Q1 2024 (Reminder)	1	Labour rights
33 Rede D'Or Sao Luiz SA	BRRDORACNOR8	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Reminder	Q4 2023 (Reminder)	1	Labour rights
34 Saipem SpA	IT0005495657	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Suspended	Q4 2023 (Suspended)	1	Environment
35 SK, Inc.	KR7034730002	Opted-In	ACATIS Fair Value Investment AG		10 Q1 2024	Ongoing Dialogue	Q1 2024 (Ongoing Dialogue)	1	Human rights
36 SLB	AN8068571086	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Suspended	Q4 2023 (Suspended)	1	Environment
37 Socfinaf SA	LU0056569402	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Reminder	Q1 2024 (Reminder)	5	Overlapping (Human rights, Labour rights)
38 Subsea 7 SA	LU0075646355	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Reminder	Q4 2023 (Reminder)	1	Environment
39 Sumitomo Chemical Co., Ltd.	JP3401400001	Opted-In	ACATIS Fair Value Investment AG		10 Q1 2024	Suspended	Q1 2024 (Suspended)	1	Environment
40 Sumitomo Corp.	JP3404600003	Opted-In	ACATIS Fair Value Investment AG		8 Q1 2024	Ongoing Dialogue	Q1 2024 (Ongoing Dialogue)	1	Labour rights
41 Telefonaktiebolaget LM Ericsson	SE0000108656	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	1	Corruption
42 The Chemours Company	US1638511089	Opted-In	ACATIS Fair Value Investment AG		8 Q3 2023	Ongoing Dialogue	Q3 2023 (Ongoing Dialogue)	1	Environment
43 Ubisoft Entertainment SA	FR0000054470	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Ongoing Dialogue	Q4 2023 (Ongoing Dialogue)	2	Labour rights
44 United States Steel Corporation	US9129091081	Opted-In	ACATIS Fair Value Investment AG		7 Q4 2023	Suspended	Q4 2023 (Suspended)	1	Environment
45 Walmart Inc.	US9311421039	Opted-In	ACATIS Fair Value Investment AG		8 Q4 2023	Suspended	Q4 2023 (Suspended)	2	Labour rights